

## List of Seminars accredited for SAQ re-certification

**Important remark: The re-certification is solely about SAQ certification. AZEK Diplomas are not subject to any re-certification requirements.**

SAQ ID	Programm	Name/Titel Massnahme	Dauer h	Fachwissen	Verhalten	SAQ anerkannt	Seminardatum
<b>2021</b>							
CWMA-AZEK-21-3028	CWMA-RE	online-Ausbildung "ESG für Kundenberater ESG-CA"	8	x	x	Y	see AZEK website
AFF-AZEK-21-3028	AFFL-RE	online-Ausbildung "ESG für Kundenberater ESG-CA"	8	x	x	Y	see AZEK website
CWMA-AZEK-21-3029	CWMA-RE	online-Ausbildung "AZEK FIDLEG Zertifikat"	6	x	x	Y	see AZEK website
AFF-AZEK-21-3029	AFFL-RE	online-Ausbildung "AZEK FIDLEG Zertifikat"	6	x	x	Y	see AZEK website
<b>2020</b>							
CWMA-AZEK-20-3027	CWMA	Certified ESG Analyst CESGA online course, module 1-5	24	x	x	Y	online
AFF-AZEK-20-3027	Affluent	Certified ESG Analyst CESGA online course, module 1-5	24	x	x	Y	online
CWMA-AZEK-18-3017	CWMA	Seminar "Investment mandates and promotion of financial products targeting private clients: an overview of the evolution of law and practice"	4	X	X	Y	ZH 28.01.20 GE 12.03.20
AFF-AZEK-18-3017	Affluent	Seminar "Investment mandates and promotion of financial products targeting private clients: an overview of the evolution of law and practice"	4	X	X	Y	ZH 28.01.20 GE 12.03.20
CWMA-AZEK-19-3025	CWMA	Seminar "Introduction to Financial Data Science"	4	X	X	Y	ZH 27.02.20 GE 23.04.20
AFF-AZEK-19-3025	Affluent	Seminar "Introduction to Financial Data Science"	4	X	X	Y	ZH 27.02.20 GE 23.04.20
CWMA-AZEK-18-3004	CWMA	Seminar "ESG - Corporate Social Responsibility and Responsible Investing"	4	X	X	Y	GE 12.05.20 ZH 10.06.20
AFF-AZEK-18-3004	Affluent	Seminar "ESG goes mainstream: Implications for financial markets and investment professionals"	4	X	X	Y	GE 12.05.20 ZH 10.06.20
CWMA-AZEK-20-3026	CWMA	Seminar "Real Estate Indices and Valuation"	4	X	X	Y	GE 01.12.20 ZH 02.12.20
AFF-AZEK-20-3026	Affluent	Seminar "Real Estate Indices and Valuation"	4	X	X	Y	GE 01.12.20 ZH 02.12.20
<b>2019</b>							
CWMA-AZEK-18-2014	CWMA	Seminar "Recht und Regulierung - Cross-border, GwG"	4		X	Y	18.01.19 GE 23.01.19 ZH
AFF-AZEK-18-2014	Affluent	Seminar "Recht und Regulierung - Cross-border, GwG"	4		X	Y	18.01.19 GE 23.01.19 ZH
CWMA-AZEK-18-1019	CWMA	Seminar "Digitalization in Financial Advisory"	4	X		Y	26.02.19 ZH 27.02.19 GE
AFF-AZEK-18-1019	Affluent	Seminar "Digitalization in Financial Advisory"	4	X		Y	26.02.19 ZH 27.02.19 GE
CWMA-AZEK-18-1015	CWMA	Seminar "iDebt, Market Lending"	4	X		Y	26.03.19 GE 27.03.19 ZH
AFF-AZEK-18-1015	Affluent	Seminar "iDebt, Market Lending"	4	X		Y	26.03.19 GE 27.03.19 ZH

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CWMA-AZEK-18-1018	CWMA	Seminar "Smart beta and risk premia investing strategies"	4	X		Y	07.05.19 GE 08.05.19 ZH
AFF-AZEK-18-1018	Affluent	Seminar "Smart beta and risk premia investing strategies"	4	X		Y	07.05.19 GE 08.05.19 ZH
CWMA-AZEK-18-2016	CWMA	Seminar "Financial Services Act FinSA and Financial Institutions Act FinIA"	4		X	Y	21.05.19 GE 23.05.19 ZH
AFF-AZEK-18-2016	Affluent	Seminar "Financial Services Act FinSA and Financial Institutions Act FinIA"	4		X	Y	21.05.19 GE 23.05.19 ZH
CWMA-AZEK-18-3017	CWMA	Seminar "Investment mandates and promotion of financial products targeting private clients: an overview of the evolution of law and practice"	4	X	X	Y	24.09.19 GE 26.09.19 ZH
AFF-AZEK-18-3017	Affluent	Seminar "Investment mandates and promotion of financial products targeting private clients: an overview of the evolution of law and practice"	4	X	X	Y	24.09.19 GE 26.09.19 ZH
CWMA-AZEK-19-3020	CWMA	Wealth Management	36	X	X	Y	Part of CIWM
AFF-AZEK-19-3020	Affluent	Wealth Management	36	X	X	Y	Part of CIWM
CWMA-AZEK-19-3021	CWMA	Real Estate	8	X	X	Y	Part of CIWM
AFF-AZEK-19-3021	Affluent	Real Estate	8	X	X	Y	Part of CIWM
CWMA-AZEK-19-3022	CWMA	Behavioural Finance and Relationship Management	25	X	X	Y	Part of CIWM
AFF-AZEK-19-3022	Affluent	Behavioural Finance and Relationship Management	25	X	X	Y	Part of CIWM
CWMA-AZEK-19-3023	CWMA	Tax and Law	36	X	X	Y	Part of CIWM
AFF-AZEK-19-3023	Affluent	Tax and Law	36	X	X	Y	Part of CIWM
CWMA-AZEK-19-3024	CWMA	Wealth Planning	18	X	X	Y	Part of CIWM
AFF-AZEK-19-3024	Affluent	Wealth Planning	18	X	X	Y	Part of CIWM
CWMA-AZEK-19-3025	CWMA	Seminar "Introduction to Financial Data Science"	4	X	X	Y	21.11.19 GE 05.12.19 ZH
AFF-AZEK-19-3025	Affluent	Seminar "Introduction to Financial Data Science"	4	X	X	Y	21.11.19 GE 05.12.19 ZH
2018							
CWMA-AZEK-18-1012	CWMA	Seminar "Contingent convertible bonds (CoCo's)" – Practice and Theory	4	X		Y	16.01.18 GE 17.01.18 ZH
AFF-AZEK-18-1012	Affluent	Seminar "Contingent convertible bonds (CoCo's)" – Practice and Theory	4	X		Y	16.01.18 GE 17.01.18 ZH
CWMA-AZEK-18-3001	CWMA	Blockchain and ICO Valuation	8	X	X	Y	12.03.18 GE 13./14.03.18 ZH
CWMA-AZEK-17-1003	CWMA	Introduction to blockchain technology	4	X		Y	GE 18.01.17 ZH 19.01.17

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AFF-AZEK-18-3001	Affluent	Blockchain and ICO Valuation	8	X	X	Y	12.03.18 GE 13./14.03.18 ZH
CWMA-AZEK-18-1002	CWMA	Rare Metals: a discovery of the 21th century's industrial revolution's asset class	4	X		Y	05.04.18 GE 10.04.18 ZH
AFF-AZEK-18-1002	Affluent	Rare Metals: a discovery of the 21th century's industrial revolution's asset class	4	X		Y	05.04.18 GE 10.04.18 ZH
CWMA-AZEK-18-3002	CWMA	Seminar "Veblen, Piketty, Deaton: The changing idea of wealth and its expected impact on HNWIs"	4	X	X	Y	21.08.18 GE 28.08.18 ZH
CWMA-AZEK-18-3003	CWMA	Seminar "The role of currency in asset management"	4	X	X	Y	1.10.18 GE 2.10.18 ZH
CWMA-AZEK-18-3004	CWMA	Seminar "ESG goes mainstream: Implications for financial markets and investment professionals"	4	X	X	Y	9.11.18 ZH 14.11.18 GE
CWMA-AZEK-18-1013	CWMA	Seminar "The Evolution of Active Investing: From Hedge Funds to Smart Beta and Risk Premia"	4	x		y	4.12.18 ZH 13.12.18 GE
AFF-AZEK-18-1013	Affluent	Seminar "The Evolution of Active Investing: From Hedge Funds to Smart Beta and Risk Premia"	4	x		y	4.12.18 ZH 13.12.18 GE
2017							
AFF-AZEK-17-1003	Affluent	Introduction to blockchain technology	4	X		Y	GE 18.01.17 ZH 19.01.17
CWMA-AZEK-17-1004	CWMA	FinTech in the Wealth Management Industry	4	X		Y	ZH 27.02.17 GE 01.03.17
AFF-AZEK-17-1004	Affluent	FinTech in the Wealth Management Industry	4	X		Y	ZH 27.02.17 GE 01.03.17
CWMA-AZEK-17-1005	CWMA	Surviving the Asset Management (R)Evolution	6.666667	X		Y	GE 31.03.17 ZH 07.04.17
AFF-AZEK-17-1005	Affluent	Surviving the Asset Management (R)Evolution	6.7	X		Y	GE 31.03.17 ZH 07.04.17
CWMA-AZEK-17-1006	CWMA	Steuerseminar zu Frankreich und Belgien	3.5	X		Y	GE 29.09.17
CWMA-AZEK-17-1011	CWMA	Steuerseminar zu Spanien und Italien	3.5	X		Y	GE 6.10.17 ZH 8.11.17
CWMA-AZEK-17-1008	CWMA	Steuerseminar zu Spanien und Italien	3.5	X		Y	GE 6.10.17 ZH 8.11.17
CWMA-AZEK-17-1007	CWMA	Fixed Income Risk Premia	4	X		Y	ZH 06.10.17 GE 11.10.17
CWMA-AZEK-17-1009	CWMA	Steuerseminar zu Österreich und Deutschland	3.5	X		Y	ZH 13.10.17
CWMA-AZEK-17-1010	CWMA	Steuerseminar zu UK	3.5	X		Y	GE 31.10.17 ZH 8.11.17